Docket No.: 56301P5007

NAME UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

PETER C. ZHU, ET AL.

Application No.: 10/769,598

Filed: January 30, 2004

For: GERMICIDAL COMPOSITIONS CONTAINING PHENYLMALONALDEHYDE-TYPE COMPOUNDS, OR MIXTURES OF PHENYLMALONALDEHYDE-TYPE

COMPOUNDS, OR MIXTURES OF PHENYLMALONALDEHYDE-TYPE COMPOUNDS AND PHTHALALDEHYDES, AND METHODS OF USING SUCH

COMPOSITIONS FOR DISINFECTION OR STERILIZATION

Art Group: 1617

Examiner: Chong, Yong Soo

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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56301P5007

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Brent E. Vecchia, Reg. No. 48,011

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

Telephone: (303) 740-1980

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Krista Mathieson

Date



## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet | 1 | of | 1

	Complete if Known
Application Number	10/769,598
Filing Date	January 30, 2004
First Named Inventor	Peter C. Zhu
Art Unit	1617
Examiner Name	Chong, Yong Soo
Attorney Docket Number	56301P5007

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.'	Document Number  Number - Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
•		US-3,929,662	12-30-1975	Boucher, et al.	:
		US-5,424,323	06-13-1995	Wachman, et al.	•
		US-			:
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document			Pages, Columns, Lines,	₩.		
		Country Code³ - Number⁴ - Kind Code⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear			
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Examiner	Date	
Signature	Considered	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional). <sup>3</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>4</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>4</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.